

Policy**PL - 41****SNAS POLICY FOR ISSUING CERTIFICATES BY
CERTIFICATION BODIES FOR MANAGEMENT SYSTEMS
CERTIFICATION IN NON-ACCREDITED REGIME**

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PURPOSE: This document determines SNAS policy for issuing certificates by Certification Bodies for Management Systems certification in non-accredited regime.

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1 INTRODUCTION

SNAS assesses the competence of Certification Bodies certifying Management Systems according to the requirements of standard ISO/IEC 17021-1: 2015 and the specific standards (ISO/IEC 17021-2 for EMS, ISO/IEC 17021-3 for QMS, ISO/IEC TS 17021-9 for ABMS, ISO/TS 22003 for FSMS, ISO/IEC 27006 for ISMS, TD SFCS 1005 for SFCS, ISO 50003 for EnMS, ISO/IEC TS 17021-6 for BCMS and ISO/IEC 20000-6 for ITSMS) for certification in the following areas:

- Quality Management System according to ISO 9001 (QMS),
- End of Waste Management System (EoW),
- Quality management systems for welding according to ISO 9001 and ISO 3834 (QMS-W),
- Sustainable Forest Management Systems according to TD SFCS 1002 and TD SFCS 1003 (SFCS),
- Quality Management Systems for Medical Devices according to ISO 13485 (QMS-MD),
- Environmental Management System according to ISO 14001 (EMS),
- Occupational Health and Safety Management Systems according to ISO 45001 (OH&SMS),
- Information Security Management System according to ISO/IEC 27001 (ISMS),
- Food Safety Management Systems according to ISO 22000 (FSMS),
- Energy Management Systems according to ISO 50001 (EnMS),
- Anti-Bribery Management System according to ISO 37001 (ABMS),
- Business continuity management System according to ISO 22301 (BCMS),
- Management Systems IT services according to ISO/IEC 20000-1 (ITSMS).

Scopes of accreditation CS are detailed by EA codes according to the classification of economic activities (NACE codes) established by the European Parliament and Council Regulation (EC) No. 1893/2006 (QMS, EoW, QMS-W, EMS, OH&SMS, BCMS), by categories according to ISO/TS 22003: 2013 (FSMS), technical areas according to ISO 50003 (EnMS), respectively by the main technical areas according to IAF MD 9: 2015 (QMS-MD).

IAF at the 29th IAF General Assembly in Milan on 06.11.2015 adopted Resolution 2015-14, which prohibits issuing non-accredited certificates by Certification Bodies in scopes where they are accredited. This resolution was subsequently adopted by the 36th General Assembly of EA in Budapest on 26.11.2015 as EA Resolution 2015 (36) 02. For implementation of the resolution IAF 2015-14 into practice was established a transition period of one year.

IAF at the 30th IAF General Assembly in New Delhi on 04.11.2016 adopted Resolution 2016-17, which, following Resolution 2015-14, sets out what the certification document must state to be considered an accredited. This resolution was subsequently adopted by the 38th General Assembly of EA in Boras on 24.11.2016 as EA Resolution 2016 (38) 21.

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2 POLICY

SNAS, in accordance with Resolution 2015-14 IAF, imposes, with effect from November 06, 2016, for Certification Bodies for Management Systems certification prohibition of issuing of non-accredited certificates in scopes that are covered by accreditation SNAS. The term non-accredited certificate means a certificate that was issued in non-accredited regime i.e. it does not contain an accreditation mark or reference to accreditation. The scope covered with accreditation means the scopes listed in Art. 1 of this Policy.

Certification Bodies for Management Systems certification are required to notify SNAS in written form until November 05, 2016 at the latest, the fulfillment of this policy.

Violation of this policy will be considered a failure to fulfill obligation of the Accredited Bodies in accordance with § 6 para. 2 h) of Act 505/2009 Coll. on the accreditation of Conformity Assessment Bodies and on amendment of certain acts as amended.

SNAS recommends to Certification Bodies further has not to conclude such agreements with clients for certification, the result of which would be issuance of a non-accredited certificate in an accredited scope, respectively in the case of existing requirements from clients for certification ask SNAS for extension the already granted accreditation.

In accordance with Resolution IAF 2016-17 that an accredited management system certification document may be considered accredited, it must provide an accreditation mark and/or refer to the accreditation of the certification body, including the identification of the accreditation body.

Certification bodies of management systems issuing non-accredited certificates that were granted accreditation before 06 November 2016 must, during the transition period, i. e. no later than 6 November 2019, to indicate at the time of the recertification decision in the certification documentation the accreditation mark and/or the reference to the accreditation of the certification body, including the identification of the accreditation body.

Certification bodies of management systems issuing non-accredited certificates that have been granted initial accreditation or expanding (for a standard or scope) since November 6, 2016 must prior non-accredited certification documents to change (re-issued) within one year after the accreditation decision.

The above requirements apply to all management system standards.

Note: If there is an exception to the above, the client must justify the exception to the CB and AB, and if accepted by both the CB and AB, the certification is still considered accredited.

- Resolutions IAF Twenty-Ninth Annual General Assembly Milan, Italy

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3 RELATED DOCUMENTS

APPROVED RESOLUTIONS OF THE 36th EA GENERAL ASSEMBLY 25 & 26 NOVEMBER 2015, BUDAPEST, HUNGARY

Resolutions IAF Thirtieth Annual General Assembly New Delhi, India

APPROVED RESOLUTIONS OF THE 38th EA GENERAL ASSEMBLY 23 & 24 NOVEMBER 2016, BORAS, SWEDEN

Act 505/2009 Coll. on the accreditation of Conformity Assessment Bodies and on amendment of certain acts as amended

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